



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Han et al.

Appln. No.:

10/

018972

Atty.Dkt.

P

265292
082137HAMY 42263
0265292

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: December 26, 2001

Title: COMPOUNDS OBTAINED FROM SALVIA SPECIES HAVING ANTIVIRAL ACTIVITY

Attn: Application Division

RECEIVED

OCT 17 2002

OFFICE OF PETITIONS

Hon. Commissioner of Patents
Washington, DC 20231

Date: October 11, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) 10/16/2002 AMONDAF1 00000029 033975 10018972
- ☐ Fig(s).
- | No of Sheets | | |
|--------------|---------|----|
| 01 FC:1006 | 740.00 | CH |
| 02 FC:1201 | 420.00 | CH |
| 03 FC:1202 | 702.00 | CH |
| 04 FC:1255 | 1960.00 | CH |

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 09/339,813	June 25, 1999	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/US00/17394	June 23, 2000	(2)	
(3)		(4)	
(5)		(6)	



Completion Under Rule 53(f)

9. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. 1 filed on _____
10. Small Entity Status ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Petition Pursuant to 37 C.F.R. § 1.47
12. ☐ Please see the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY
CHANGED BY PRELIMINARY AMENDMENT PER ITEM 12 ABOVE**

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application Not Design Application		106/26 101/201
14. Total Effective Claims	59	minus 20 =	39	x \$18/\$9	+702	103/203
15. Independent Claims	8	minus 3 =	5	x \$84/\$42	+420	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18.				FILING FEE =	\$1252	
19. <u>Original due date:</u> May 11, 2002						
20. <u>Petition is hereby made</u> to extend the original due date to (1 mo)				\$110/\$55 =	+1960	115/215
cover the date this response is filed for which the requisite fee (2mos)				\$400/\$200 =		116/216
is attached (3mos)				\$920/\$460 =		117/217
(4mos)				\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE =					\$3252	

**PLEASE CHARGE
OUR DEP. ACCT.**

Our Deposit Account No. 03-3975

Our Order No. 082137

C#

0265292

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments